

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
ROC920000129US1SERIAL NO.  
09/697,398APPLICANT:  
Robert Miller et al.

#2

FILING DATE:  
October 27, 2000GROUP:  
-2152 2155

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

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JUN 7 2001

Technology Center 2100

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
						YES	NO
AL							
AM							
AN							
AO							
AP							

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Th	AR	Timothy Roy Block, IBM Patent Application filed November 22, 2000, Serial No. 09/718,924, "Apparatus and Method for
		Communicating Between Computer Systems Using a Sliding Send Window for Ordered Messages in a Clustered Computing Environment."
	AS	
	AT	

EXAMINER

Thurman

DATE CONSIDERED

4/13/03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS														
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

Thn	AR	U.S. Patent Application, Serial No. 09/638,328, entitled "Merge Protocol for Clustered Computer System," filed on
		August 14, 2000 by Miller et al. (ROC920000130US1)
Thn	AS	U.S. Patent Application, Serial No. 09/732,189, entitled "Peer Protocol Status Query in Clustered Computer System," filed on
		December 7, 2000 by Miller (ROC920000125US1)
	AT	

EXAMINER

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DATE CONSIDERED

11/13/03

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